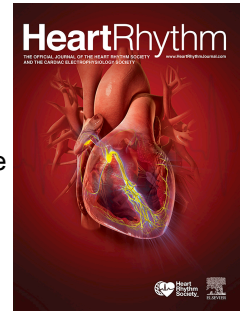


# Accepted Manuscript

Long-term Performance of a Transcatheter Pacing System: 12 month results from the Micra Transcatheter Pacing Study

Gabor Z. Duray, MD, PhD, Philippe Ritter, MD, Mikhael El-Chami, MD, FHRS, Calambur Narasimhan, MD, Razali Omar, MD, FHRS, Jose M. Tolosana, MD, PhD, Shu Zhang, MD, FHRS, Kyoko Soejima, MD, Clemens Steinwender, MD, Leonardo Rapallini, MScEng, Aida Cicic, MD, Dedra H. Fagan, PhD, Shufeng Liu, MS, Dwight Reynolds, MD, FHRS



PII: S1547-5271(17)30146-7

DOI: [10.1016/j.hrthm.2017.01.035](https://doi.org/10.1016/j.hrthm.2017.01.035)

Reference: HRTM 7015

To appear in: *Heart Rhythm*

Received Date: 9 November 2016

Please cite this article as: Duray GZ, Ritter P, El-Chami M, Narasimhan C, Omar R, Tolosana JM, Zhang S, Soejima K, Steinwender C, Rapallini L, Cicic A, Fagan DH, Liu S, Reynolds D, Micra Transcatheter Pacing Study Group, Long-term Performance of a Transcatheter Pacing System: 12 month results from the Micra Transcatheter Pacing Study, *Heart Rhythm* (2017), doi: 10.1016/j.hrthm.2017.01.035.

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

1 **Long-term Performance of a Transcatheter Pacing System: 12 month results from the**  
2 **Micra Transcatheter Pacing Study**

3 **ShortTitle:** Micra Transcatheter Pacemaker Long-Term Performance

4

5 Gabor Z. Duray, MD, PhD<sup>a\*</sup>, Philippe Ritter, MD<sup>b</sup>, Mikhael El-Chami, MD, FHRS<sup>c</sup>, Calambur  
6 Narasimhan, MD<sup>d</sup>, Razali Omar, MD, FHRS<sup>e</sup>, Jose M. Tolosana, MD, PhD<sup>f</sup>, Shu Zhang, MD,  
7 FHRS<sup>g</sup>, Kyoko Soejima, MD<sup>h</sup>, Clemens Steinwender, MD<sup>i,j</sup>, Leonardo Rapallini, MScEng<sup>k</sup>; Aida  
8 Cicic, MD<sup>k</sup>; Dedra H. Fagan, PhD<sup>k</sup>, Shufeng Liu, MS<sup>k</sup>, Dwight Reynolds, MD, FHRS<sup>l</sup>, Micra  
9 Transcatheter Pacing Study Group

10

11 <sup>a</sup>Clinical Electrophysiology Department of Cardiology, Medical Centre, Hungarian Defence  
12 Forces, Budapest, Hungary, <sup>b</sup>Department of Cardiac Pacing and Electrophysiology,  
13 CHU/Universite´ de Bordeaux Pessac, France; <sup>c</sup>Division of Cardiology Section of  
14 Electrophysiology, Emory University, Atlanta, GA, <sup>d</sup>CARE Hospitals and CARE Foundation,  
15 Hyderabad, India; <sup>e</sup>Electrophysiology and Pacing Unit, National Heart Institute, Kuala Lumpur,  
16 Malaysia; <sup>f</sup>Hospital Clinic, Universitat de Barcelona, Barcelona, Spain; <sup>g</sup>Fuwai Hospital, Beijing,  
17 China; <sup>h</sup>Department of Cardiology, Kyorin University Hospital, Tokyo, Japan; <sup>i</sup>Department of  
18 Cardiology, Kepler University Hospital, Linz, Austria; <sup>j</sup>Paracelsus Medical University Salzburg,  
19 Salzburg, Austria; <sup>k</sup>Medtronic, plc, Mounds View, MN; <sup>l</sup>Cardiovascular Section, University of  
20 Oklahoma Health Sciences Center, OU Medical Center, Oklahoma City, OK  
21 (Funded by Medtronic, plc; Micra Transcatheter Pacing Study ClinicalTrials.gov number:  
22 NCT02004873).

23

24 **Word Count: 4688**

25 **\*Address for Correspondence:**

26 Gabor Duray, MD, PhD

27 Clinical Electrophysiology Department of Cardiology

28 Medical Centre, Hungarian Defence Forces

29 Róbert Károly krt. 44

30 Budapest, Hungary 1134

31 Telephone: +3614651800 Fax: +3614651935 E-mail: [gduray@yahoo.com](mailto:gduray@yahoo.com)

32 **Conflicts of Interest:** GZD: Research Grant: Boston Scientific, Biotronik, Medtronic, Speakers Bureau/Consulting  
33 Fees: Biotronik, Medtronic, St. Jude Medical; PR: Speakers Bureau/Consulting Fees: Medtronic; MFE: Speakers  
34 Bureau/Consulting Fees: Boston Scientific, Medtronic, Research Grant: Medtronic; CN: Research Grant: Medtronic,  
35 Biosense Webster, St. Jude Medical; Fellowship grant: Medtronic; RO: Speakers Bureau/Consulting Fees: Boston  
36 Scientific, Biotronik, Medtronic; JMT: Speakers Bureau/Consulting Fees: Biotronik, Medtronic, St. Jude Medical,  
37 Boston Scientific ; SZ: Speakers Bureau/ Consulting Fees: Boston Scientific, Medtronic, St. Jude Medical,  
38 Biotronik; KS: Speakers Bureau: St. Jude Medical Japan; CS: Research Grant: Medtronic, St. Jude Medical;  
39 Speakers Bureau/Consulting Fees: Biotronik, Medtronic, St. Jude Medical, Boston Scientific; LR: Employment:  
40 Medtronic; AC: Employment: Medtronic; DHF: Employment: Medtronic; SL: Employment: Medtronic; DR:  
41 Speakers Bureau/Consulting Fees: Medtronic

42

**43 Abstract****44 Background**

45 Early performance of the Micra transcatheter pacemaker from the global clinical trial reported a  
46 99.2% implant success rate, low and stable pacing capture thresholds, and a low (4.0%) rate of  
47 major complications up to 6 months.

**48 Objective**

49 The pre-specified long-term safety objective of Micra at 12 months and electrical performance  
50 through 24 months are reported.

**51 Methods**

52 The Micra Transcatheter Pacing Study was a prospective single-arm study designed to assess the  
53 safety and efficacy of the Micra VVIR leadless/intracardiac pacemaker. Enrolled patients met  
54 Class I or II guideline indications for de novo ventricular pacing. The long-term safety objective  
55 was freedom from a system or procedure related major complication at 12 months. A pre-defined  
56 historical control group of 2667 patients with transvenous pacemakers was used to compare  
57 major complication rates.

**58 Results**

59 The long-term safety objective was met with a freedom from major complication rate of 96.0%  
60 at 12 months (95% CI: 94.2%-97.2%,  $P < 0.0001$  versus performance goal). The risk of major  
61 complications for Micra patients (N=726) was 48% lower than for transvenous patients through  
62 12-months post-implant (HR: 0.52, 95% CI: 0.35-0.77,  $P = 0.001$ ). Across subgroups of age, sex,  
63 and comorbidities, Micra reduced the risk of major complications compared to transvenous  
64 systems. Electrical performance was excellent through 24 months, with projected battery  
65 longevity of 12.1 years.

66 **Conclusions**

67 Long-term performance of the Micra transcatheter pacemaker remains consistent with previously  
68 reported data. Few patients experienced major complications through 12 months follow-up, and  
69 all patient subgroups benefited as compared to a transvenous pacemaker historical control group.

70 **Keywords:** Transcatheter pacemaker, leadless pacing, long-term results

71

ACCEPTED MANUSCRIPT

## 72 **Introduction**

73 The source for the majority of short- and long-term complications associated with traditional  
74 pacing systems can be attributed to the pocket and lead. Within months from the implant  
75 procedure, as many as 1 in 8 patients may experience a complication such as infection,  
76 hematoma, loose header connection, lead dislodgement, or pneumothorax.<sup>1,2</sup> Chronically,  
77 complications with traditional systems include infection, Twiddler's Syndrome, lead fracture and  
78 insulation breach, venous thrombosis/obstruction, and tricuspid valve injury.<sup>2</sup> Advances in  
79 battery chemistry and component design have enabled cardiac pacemakers to be dramatically  
80 reduced in size and placed entirely in the heart, eliminating the need for a subcutaneous "pocket"  
81 and a transvenous lead and the related complications.

82 To date, only the early performance of transcatheter pacing systems have been reported.<sup>3</sup>  
83 <sup>4</sup> The Micra Transcatheter Pacing Study was a global clinical trial evaluating the safety and  
84 efficacy of the Micra Transcatheter Pacemaker System (Medtronic plc, Minneapolis, MN). The  
85 trial enrolled 745 patients from 56 centers in 19 countries, where 99.2% of patients were  
86 successfully implanted by 94 physicians. Both the primary safety and efficacy objectives were  
87 met at 6 months of follow-up.<sup>4</sup> In this report, we describe the long-term electrical performance  
88 and safety objective of patients followed for up to 24 months from the Micra Transcatheter  
89 Pacing Study.

## 90 **Methods**

### 91 **Study Design**

92 The design of the pivotal study has been described previously.<sup>5</sup> Briefly, the aim of the  
93 prospective, non-randomized, worldwide clinical trial was to evaluate the short-term and long-  
94 term safety and efficacy of the Micra Transcatheter Pacemaker System. The protocol was

95 approved by the ethics committee at each of the 56 participating centers. Adverse events were  
96 adjudicated by a Clinical Events Committee (CEC) comprised of independent physicians. Safety  
97 oversight was provided by an independent data monitoring committee (DMC).

## 98 **Patients and Procedures**

99 Detailed inclusion/exclusion criteria have been previously described.<sup>5</sup> Enrolled patients met  
100 Class I or II guideline recommendations<sup>6,7</sup> for *de novo* ventricular pacing and were not restricted  
101 by comorbidities. All patients provided written and informed consent.

102 The Micra transcatheter pacemaker is a single-chamber ventricular pacemaker, is 90%  
103 smaller than a transvenous system, and is self-contained in a hermetically enclosed capsule (0.8  
104 cubic centimeter, 2.0 grams). Functionality and features of the device are similar to existing  
105 single chamber pacemakers and include rate adaptive pacing, remote monitoring capabilities, and  
106 automated pacing capture threshold management to maximize battery longevity. Micra is  
107 inherently MRI conditionally safe for full body scans in both 1.5 and 3.0 Tesla scanners due to  
108 its small size and low amount of ferrous material.<sup>8</sup>

109 The device is implanted using a 23 French internal diameter/27 French outer diameter  
110 introducer through a femoral vein and the delivery catheter is advanced into the right ventricle.  
111 The device is fixated in the myocardium via 4 flexible nitinol tines. After verifying device  
112 fixation and obtaining adequate electrical measurements, a tether is cut and the delivery system  
113 is removed.

114 Enrolled patients underwent implant attempt and were followed, including adverse event  
115 and device evaluation at 1, 3, and 6 months and then bi-annually for at least 12 months until all  
116 successfully implanted patients had the opportunity to complete their 12-month visit at which  
117 time the study was closed.

**118 Endpoints**

119 As reported previously, the two primary endpoints assessed the system's early performance: a  
120 safety endpoint to evaluate freedom from major complications at 6 months and an efficacy  
121 endpoint to evaluate the proportion of patients with low and stable pacing thresholds at 6 months.  
122 Both primary objectives were met.<sup>4</sup> With respect to the safety objective, major complications  
123 were defined as events resulting in death, permanent loss of device function due to mechanical or  
124 electrical dysfunction, hospitalization, prolonged hospitalization by at least 48 hours, or system  
125 revision. The diagnoses of all adverse events were reported by site investigators. An independent  
126 CEC reviewed and adjudicated at minimum all procedure or system related events to determine  
127 relatedness and seriousness.

128 The subject of this report is of the long-term safety and electrical performance. The trial  
129 had a pre-specified long-term safety performance objective to be assessed after all implanted  
130 patients had the opportunity to complete at least 12-months of follow-up. The objective was to  
131 demonstrate that the freedom from major complications related to the Micra system or procedure  
132 was significantly greater than 82% at 12 months post implant (assumed performance, 89%). For  
133 a comparison of safety performance relative to conventional pacemaker systems with  
134 transvenous leads, an individual patient level dataset of 2667 *de novo* pacemaker patients from 6  
135 recent Medtronic trials of dual chamber pacing was assembled.<sup>4</sup> A single chamber dataset was  
136 approximated by excluding events related only to the right atrial lead. Rates of major  
137 complications (using the major complication criteria from the Micra trial) were compared  
138 between Micra and the transvenous control group. Finally, electrical performance was assessed  
139 in patients followed up to 24 months.



**140 Statistical Analysis**

141 The study sample size of 720 patients successfully implanted with the Micra system provided  
142 >90% power to test the study's two primary objectives.<sup>4, 5</sup> The long-term safety performance  
143 goal of 82% was based on the major complication freedom rates at 12-months from the 6 trials in  
144 the reference dataset and set to 1% below the 6-month performance goal used for the primary  
145 objective to reflect the expectation that few major complications would be anticipated beyond 6-  
146 months post-implant. The 12-month Kaplan-Meier estimate of the freedom from major  
147 complications was evaluated against the performance goal of 82% using a one-sample Wald test  
148 implying that the long-term safety objective would be met if the lower two-sided 95%  
149 confidence limit of the Kaplan-Meier estimate exceeded 82%. Simulation analyses confirmed the  
150 power to test the long-term safety objective exceeded 90% when the 6-month major  
151 complication freedom rate (primary objective) exceeded 90%.

152 The Fine-Gray<sup>9</sup> competing risk model was used to compare the risk of major  
153 complication through 12-months between the 2667 patients in the transvenous control group and  
154 the 726 Micra patients with an attempted implant. Similarly, this model was used to compare the  
155 Micra patients and transvenous control group with respect to each component of the major  
156 complication endpoint and within subgroups. Finally, the primary comparison was repeated with  
157 a 1:1 propensity matched subgroup of transvenous control patients to adjust for differences in  
158 patient characteristics, including age, sex, coronary artery disease history, congestive heart  
159 failure history, atrial fibrillation history, hypertension history, valvular disease history, and all  
160 pairwise interactions. All analyses were conducted with SAS software, version 9.4 (SAS  
161 Institute), or the R statistical package (R Project for Statistical Computing).

162 Electrical parameters were summarized at each study visit using means and standard  
163 deviations. Battery longevity was projected using Monte Carlo methods by combining bench  
164 measured static current drain distributions combined with actual use conditions obtained via 12-  
165 month device interrogation files, plus six 30-minute telemetry sessions per year.

## 166 **Results**

### 167 **Study Patients**

168 Enrollment began December 2013 and concluded May 2015 with a total of 745 patients at 56  
169 centers in 19 countries worldwide. There were 726 patients who underwent attempted Micra  
170 implant by 94 physicians, of which, 720 (99.2%) patients were successfully implanted. Detailed  
171 patient characteristics have previously been described;<sup>4</sup> (the original 6 month primary endpoints  
172 report was on 725 attempted and 719 successfully implanted; one additional successful implant  
173 occurred after database closure of the early performance analysis). Average follow-up duration  
174 was  $16.4 \pm 4.9$  months. Compliance to protocol-required study visits was >99%.

### 175 **Long-Term Safety**

176 There were a total of 32 major complications in 29 patients adjudicated as related to the Micra  
177 system or procedure. The long-term safety objective was met with 96.0% freedom from major  
178 complications related to the Micra system or procedure at 12-months post-implant (95% CI:  
179 94.2% - 97.2%,  $P < 0.0001$  versus performance goal). Major complications are shown in Table 1.  
180 Four new major complications occurred since the primary results analysis, which occurred when  
181 the first 300 patients had been followed for 6 months:<sup>4</sup> 3 were associated with cardiac failure  
182 events and 1 was associated with pacemaker syndrome. There were no radiographically visible  
183 device dislodgements and no telemetry failures. Also, there were no infections related to the  
184 Micra device during the entire follow up duration.

185 Of the 32 major complications, 24 (75%) occurred within 30 days of a Micra implant  
186 attempt, and 6 (19%) occurred between 30 days and 6-months of implant attempt. Only two of  
187 the major complications occurred after 6 months of the implant attempt. The two events  
188 involving hospitalizations for heart failure at 300 and 343 days post implant, respectively,  
189 occurred in two patients paced 87% and 99% of the time.

190 Major complication criteria were not mutually exclusive, and of the 32 major  
191 complications: 18 were associated with prolonged hospitalization, 17 with new hospitalization, 5  
192 with system revision, 2 with loss of device function though neither were caused by technical  
193 failure of the device (elevated pacing threshold and pacemaker syndrome leading to the device  
194 programmed off to OOO mode), and 1 death following the procedure (Table 2).

195 Of the 5 system revisions that met the criteria for a major complication, percutaneous  
196 retrieval was attempted in 3 patients: 1 attempt was successful 16 days post implant, 1 attempt  
197 was unsuccessful due to inability to extract the device 259 days post implant, and 1 attempt was  
198 aborted due to fluoroscopy failure 229 days post implant. In the remaining 2 patients, the Micra  
199 device was turned to Device Off mode without a retrieval attempt 32 and 44 days post implant.

#### 200 **Pacemaker Syndrome and Heart Failure**

201 The 2 patients with a major complication related to pacemaker syndrome, as expected, were  
202 among the 36% of patients who had no persistent atrial arrhythmias at baseline. Both patients  
203 were upgraded – one to dual chamber pacing and one to CRT.

204 All 6 patients with a major complication related to heart failure had persistent atrial  
205 arrhythmias at baseline. Only 1 of these patients was upgraded to CRT. The remainder were  
206 managed with medication.

**207 Infections**

208 There were no major infectious complications related to the Micra device or procedure. There  
209 were 26 patients with 33 systemic infectious events during the trial; including septic shock (16),  
210 endocarditis (2), bacteremia (3), and other septic events (12). In all instances, these events were  
211 determined to be unrelated to the Micra device or procedure by the investigator, and these  
212 determinations were confirmed by the CEC. Micra removal was not required in 25 of 26 patients.  
213 In 1 patient, Micra was removed 430 days after implant during surgical replacement of an  
214 infected prosthetic aortic valve.

**215 Deaths**

216 There were 77 deaths among the 745 enrolled subjects, 29 were previously reported<sup>4</sup> and there  
217 were 48 new deaths since the primary results analysis. Of the 77 deaths, 10 were due to sudden  
218 cardiac death, 22 were due to non-sudden cardiac death, 43 were due to non-cardiac death, and 2  
219 were for unknown reasons. None of the deaths were considered related to the Micra system; 1  
220 death was considered related to the implant procedure and was previously described.<sup>4</sup>

**221 Comparison to Historical Control**

222 The risk of major complication through 12-months post-implant was 48% lower in Micra  
223 patients relative to transvenous control patients (HR: 0.52, 95% CI: 0.35-0.77,  $P=0.001$ , Figure  
224 1). To account for differences in baseline characteristics, propensity scores for each patient were  
225 derived and each of the 726 Micra patients was matched to a historical control patient. Absolute  
226 standardized differences were all less than 0.2, indicating successful matching. After propensity  
227 matching, a similar reduction in major complications was observed (HR: 0.46, 95% CI: 0.30 –  
228 0.72,  $P<0.001$ ). The reduction in major complications was primarily driven by a 47% relative  
229 risk reduction in hospitalizations and 82% relative risk reduction in system revisions (Table 2).

230 Across age, sex, and comorbidities, Micra was associated with a lower risk of major  
231 complications through 12 months compared to transvenous pacemakers, and there were no  
232 subgroups where Micra showed a higher risk (Figure 2).

### 233 **Electrical Performance**

234 Of the 630 subjects with available pacing threshold data at 12 months, 93% had a pacing  
235 threshold  $\leq 1$  V (mean  $0.60 \pm 0.38$  V) at 0.24 ms pulse duration, and of the 58 subjects with  
236 available pacing threshold data at 24 months, 97% had a pacing threshold  $\leq 1$  V (mean  $0.53 \pm$   
237  $0.23$  V) also at 0.24 ms. Pacing thresholds tended to decrease after implant and remained stable  
238 thereafter (Figure 3A). The estimated battery longevity based upon use conditions at 12 months  
239 was 12.1 years with 89% of patients having a projected longevity  $> 10$  years. The average pacing  
240 impedance decreased from implant to 12-months (724 Ohms compared to 596 Ohms, Figure 3B)  
241 and was stable through 24 months. Following successful implant, the mean R-wave amplitude  
242 was 11.2 mV compared to a mean R-wave amplitude of 15.1 mV at 12-months post-implant and  
243 was 15.5 mV at 24 months.

### 244 **Discussion**

245 In a prospective, non-randomized, worldwide trial of 726 patients, the Micra transcatheter  
246 pacemaker met its long-term safety performance objective with 96.0% freedom from major  
247 complications through 12 months post-implant. To our knowledge, this is the largest report of  
248 transcatheter pacing patients with the longest follow-up. As previously reported in the 6 month  
249 follow-up dataset, electrical performance remains stable through 12 months with 93% of patients  
250 having a pacing threshold  $\leq 1$  V at 0.24 ms. Micra patients experienced a 48% reduction in the  
251 risk of major complication compared to transvenous control patients, driven by reductions in  
252 hospitalizations and system revisions. Throughout the duration of the trial, Micra met all pre-

253 specified objectives, beginning with the early performance objectives at 3 months,<sup>10</sup> the primary  
254 objectives at 6 months,<sup>4</sup> and continuing through 12 months as outlined in this report.

255 Major complications occurred in 4% of patients in this first-in-man trial, which is in-line  
256 with published reports for transvenous systems.<sup>1,2</sup> Among 94 implanters from 56 centers in 19  
257 countries, the implant success rate was 99.2%. Remarkably, there were no device dislodgements  
258 or infections related to the device.

259 The advantage of leadless pacemakers lies in the absence of a lead and pocket, the  
260 primary sources of complications with transvenous systems. Specifically, lead related  
261 complications (2.4-5.5%), pocket related complications (0.4-4.8%), pneumothorax (0.9-2.2%),  
262 and infection (0.3-0.8%) are well-characterized in the transvenous peri-procedural setting.<sup>1,2</sup> Use  
263 of Micra avoided all of these complications. These data highlight that this advantage is observed  
264 very early in follow-up and sustained in the longer-term. As with transvenous systems, the  
265 majority of complications occurred early, but Micra patients experienced 82% fewer system  
266 revisions and 47% fewer hospitalizations. These reductions were despite Micra patients being  
267 older and having more co-morbidities than the transvenous control group patients.

268 While these long-term data demonstrate that the beneficial effects of Micra versus  
269 transvenous systems are sustained to 2 years, we anticipate continued benefit chronically with  
270 Micra. Long-term data suggest that transvenous systems remain prone to infections and are  
271 associated with complications related to venous obstruction, lead fracture and insulation breach,  
272 injury to the tricuspid valve, and Twiddler's Syndrome. Data of transcatheter pacemakers is  
273 needed to shed light on the benefits of eliminating these chronic device complications.

274 The electrical performance of Micra remains stable up to 24 months follow-up. Based on  
275 the actual use conditions of patients followed through 12 months, the mean longevity is projected

276 to be >12 years which compares favorably to traditional systems.<sup>11, 12</sup> Given the typical patient  
277 profile indicated for VVI pacing (e.g. average age 76 years, comorbidities), this longevity  
278 projection suggests that a single Micra will serve the total pacing needs of at least 75% of  
279 patients (Rys et al, unpublished data). Though experience was limited, Micra was able to be  
280 retrieved percutaneously or turned off and left in place with a concomitant device placed, thus  
281 allowing for options when device upgrade or replacement is required.

282         Although 36% of patients receiving Micra VVI pacing therapy were without persistent  
283 atrial arrhythmia at baseline, only 1.1% of patients experienced major complications related to  
284 this pacing mode – 6 were associated with heart failure and 2 with pacemaker syndrome. While  
285 careful pacing mode selection is advised, it appears that in this trial the low rate of heart failure  
286 and pacemaker syndrome reflects reasonable use of this new technology.

287         Published literature indicates that cardiac implantable electronic device related infections  
288 occur with traditional transvenous systems in 0.3-0.8% of implants.<sup>13</sup> Currently, experts  
289 recommend complete hardware removal in virtually all of these situations as infections typically  
290 involve the device pocket and/or the lead.<sup>14, 15</sup> Micra's small size, reduced surface area, and lack  
291 of polymer insulated lead exposed to the bloodstream appear to substantially mitigate the risk of  
292 early device infection. Over the long-term, these features will also promote complete device  
293 encapsulation, which may significantly reduce the risk of chronic infection. The absence of  
294 obvious device infections in this trial is encouraging.

295         Results from a differently designed leadless pacemaker (Nanostim, St. Jude Medical)  
296 have also been reported. Primary efficacy and safety objectives were met in 300 patients, with  
297 90% receiving adequate pacemaker function to 6 months.<sup>3</sup> In the total cohort of 526 patients,  
298 device-related serious adverse events occurred in 6.5% of patients, including cardiac perforation

299 in 8 (1.5%) patients, device dislodgement in 6 (1.1%) patients, device migration in 2 (0.4%)  
300 patients, and infection in 0 patients. Long-term safety data have not yet been reported.

### 301 **Limitations**

302 A limitation of the trial is the absence of a randomized control group for comparison. In order to  
303 derive a relative comparison to transvenous systems, a historical control comprised of 6  
304 transvenous pacemaker trials was assembled and major complications were estimated. The safety  
305 analyses, as pre-specified, are restricted to the events meeting major complication criteria, and  
306 events not leading to death, hospitalization, prolonged hospitalization by at least 48 hours, or loss  
307 of device function are outside the scope of the present analysis. In addition, there are limited data  
308 on system revisions, and no patients were followed beyond 2 years. Data from the Micra Post-  
309 Approval Registry (refer to <https://clinicaltrials.gov/ct2/show/NCT02536118>) is aimed to  
310 address these questions.

### 311 **Conclusion**

312 The Micra Transcatheter Pacing Study met its prespecified long-term safety objective with 96%  
313 freedom from major complications. Micra patients experienced a 48% reduction in the risk of  
314 major complication at 12 months compared to transvenous patients from a historical control  
315 group, resulting in 82% fewer system revisions and 47% fewer hospitalizations. Pacing  
316 thresholds remained low and stable through 24 months follow-up.

317



318 **Acknowledgements**

319 We thank Kurt Stromberg, M.S. and Harrison Hudnall, B.S. of Medtronic for technical  
320 assistance in the preparation of this manuscript. The authors would like to thank Brian Urke of  
321 Medtronic, in memoriam, for his contributions to the research and development of Micra and to  
322 the clinical trial design.

323

ACCEPTED MANUSCRIPT

324 **References**

- 325 **1.** Kirkfeldt RE, Johansen JB, Nohr EA, Jorgensen OD, Nielsen JC. Complications after  
326 cardiac implantable electronic device implantations: an analysis of a complete,  
327 nationwide cohort in Denmark. *Eur Heart J* 2014;35:1186-1194.
- 328 **2.** Udo EO, Zuithoff NP, van Hemel NM, de Cock CC, Hendriks T, Doevendans PA,  
329 Moons KG. Incidence and predictors of short- and long-term complications in pacemaker  
330 therapy: the FOLLOWPACE study. *Heart Rhythm* 2012;9:728-735.
- 331 **3.** Reddy VY, Exner DV, Cantillon DJ, et al. Percutaneous Implantation of an Entirely  
332 Intracardiac Leadless Pacemaker. *N Engl J Med* 2015;373:1125-1135.
- 333 **4.** Reynolds D, Duray GZ, Omar R, et al. A Leadless Intracardiac Transcatheter Pacing  
334 System. *N Engl J Med* 2016;374:533-541.
- 335 **5.** Ritter P, Duray GZ, Zhang S, Narasimhan C, Soejima K, Omar R, Laager V, Stromberg  
336 K, Williams E, Reynolds D, Micra Transcatheter Pacing Study Group. The rationale and  
337 design of the Micra Transcatheter Pacing Study: safety and efficacy of a novel  
338 miniaturized pacemaker. *Europace* 2015;17:807-813.
- 339 **6.** Epstein AE, DiMarco JP, Ellenbogen KA, et al. ACC/AHA/HRS 2008 Guidelines for  
340 Device-Based Therapy of Cardiac Rhythm Abnormalities: a report of the American  
341 College of Cardiology/American Heart Association Task Force on Practice Guidelines  
342 (Writing Committee to Revise the ACC/AHA/NASPE 2002 Guideline Update for  
343 Implantation of Cardiac Pacemakers and Antiarrhythmia Devices) developed in  
344 collaboration with the American Association for Thoracic Surgery and Society of  
345 Thoracic Surgeons. *J Am Coll Cardiol* 2008;51:e1-62.

- 346 **7.** European Heart Rhythm Association, Heart Rhythm Society, Zipes DP, et al.  
347 ACC/AHA/ESC 2006 guidelines for management of patients with ventricular  
348 arrhythmias and the prevention of sudden cardiac death: a report of the American College  
349 of Cardiology/American Heart Association Task Force and the European Society of  
350 Cardiology Committee for Practice Guidelines (Writing Committee to Develop  
351 Guidelines for Management of Patients With Ventricular Arrhythmias and the Prevention  
352 of Sudden Cardiac Death). *J Am Coll Cardiol* 2006;48:e247-346.
- 353 **8.** Soejima K, Edmonson J, Ellingson ML, Herberg B, Wiklund C, Zhao J. Safety  
354 evaluation of a leadless transcatheter pacemaker for magnetic resonance imaging use.  
355 *Heart Rhythm* 2016;13:2056-2063.
- 356 **9.** Fine JP, Gray RJ. A proportional hazards model for the subdistribution of a competing  
357 risk. *Journal of the American statistical association* 1999;94:496-509.
- 358 **10.** Ritter P, Duray GZ, Steinwender C, et al. Early performance of a miniaturized leadless  
359 cardiac pacemaker: the Micra Transcatheter Pacing Study. *Eur Heart J* 2015;36:2510-  
360 2519.
- 361 **11.** Hauser RG, Hayes DL, Kallinen LM, Cannom DS, Epstein AE, Almquist AK, Song SL,  
362 Tyers GF, Vlay SC, Irwin M. Clinical experience with pacemaker pulse generators and  
363 transvenous leads: an 8-year prospective multicenter study. *Heart Rhythm* 2007;4:154-  
364 160.
- 365 **12.** Senaratne J, Irwin ME, Senaratne MP. Pacemaker longevity: are we getting what we are  
366 promised? *Pacing Clin Electrophysiol* 2006;29:1044-1054.

- 367 **13.** Tarakji KG, Mittal S, Kennergren C, Corey R, Poole J, Stromberg K, Lexcen DR,  
368 Wilkoff BL. Worldwide Randomized Antibiotic Envelope Infection Prevention Trial  
369 (WRAP-IT). *American Heart Journal* 2016;180:12-21.
- 370 **14.** Baddour LM, Epstein AE, Erickson CC, et al. Update on cardiovascular implantable  
371 electronic device infections and their management: a scientific statement from the  
372 American Heart Association. *Circulation* 2010;121:458-477.
- 373 **15.** Sohail MR, Uslan DZ, Khan AH, Friedman PA, Hayes DL, Wilson WR, Steckelberg JM,  
374 Stoner S, Baddour LM. Management and outcome of permanent pacemaker and  
375 implantable cardioverter-defibrillator infections. *J Am Coll Cardiol* 2007;49:1851-1859.
- 376
- 377

378 **Table 1: Major Complications (Patients with Micra Implant Attempt, N=726)**

Adverse Event Keyterm	No. Events (No. Subjects, %)			
	Within 30 Days	30 Days - 6-Months	>6-Months	Total Major Complications
<b>TOTAL MAJOR COMPLICATIONS</b>	<b>24 (21, 2.89%)</b>	<b>6 (6, 0.83%)</b>	<b>2 (2, 0.28%)</b>	<b>32 (29, 3.99%)</b>
<b>EMBOLISM AND THROMBOSIS</b>	<b>2 (2, 0.28%)</b>	<b>0 (0, 0%)</b>	<b>0 (0, 0%)</b>	<b>2 (2, 0.28%)</b>
DEEP VEIN THROMBOSIS	1 (1, 0.14%)	0 (0, 0%)	0 (0, 0%)	1 (1, 0.14%)
PULMONARY EMBOLISM	1 (1, 0.14%)	0 (0, 0%)	0 (0, 0%)	1 (1, 0.14%)
<b>EVENTS AT GROIN PUNCTURE SITE</b>	<b>5 (5, 0.69%)</b>	<b>0 (0, 0%)</b>	<b>0 (0, 0%)</b>	<b>5 (5, 0.69%)</b>
ARTERIOVENOUS FISTULA	4 (4, 0.55%)	0 (0, 0%)	0 (0, 0%)	4 (4, 0.55%)
VASCULAR PSEUDOANEURYSM	1 (1, 0.14%)	0 (0, 0%)	0 (0, 0%)	1 (1, 0.14%)
<b>CARDIAC EFFUSION/PERFORATION</b>	<b>10 (10, 1.38%)</b>	<b>1 (1, 0.14%)</b>	<b>0 (0, 0%)</b>	<b>11 (11, 1.52%)</b>
<b>PACING ISSUES: ELEVATED THRESHOLDS</b>	<b>2 (2, 0.28%)</b>	<b>0 (0, 0%)</b>	<b>0 (0, 0%)</b>	<b>2 (2, 0.28%)</b>
<b>OTHER</b>	<b>5 (5, 0.69%)</b>	<b>5 (5, 0.69%)</b>	<b>2 (2, 0.28%)</b>	<b>12 (12, 1.65%)</b>
ACUTE MYOCARDIAL INFARCTION	1 (1, 0.14%)	0 (0, 0%)	0 (0, 0%)	1 (1, 0.14%)
CARDIAC FAILURE	0 (0, 0%)	4 (4, 0.55%)	2 (2, 0.28%)	6 (6, 0.83%)
METABOLIC ACIDOSIS	1 (1, 0.14%)*	0 (0, 0%)	0 (0, 0%)	1 (1, 0.14%)
PACEMAKER SYNDROME	1 (1, 0.14%)	1 (1, 0.14%)	0 (0, 0%)	2 (2, 0.28%)
PRESYNCOPE	1 (1, 0.14%)	0 (0, 0%)	0 (0, 0%)	1 (1, 0.14%)
SYNCOPE	1 (1, 0.14%)	0 (0, 0%)	0 (0, 0%)	1 (1, 0.14%)

\*Led to procedure-related death in patient with end-stage renal disease

379

380 **Table 2: Components of Major Complication for Micra and Transvenous Control Patients**

Major Complication Criterion	12-Month Kaplan-Meier Event Rate (95% CI)		Relative Risk Reduction (95% CI)
	Micra (n=726)	Historical Control (n=2667)	
<b>Total Major Complications</b>	4.0% (2.8% - 5.8%)	7.6% (6.6% - 8.7%)	48% (23% - 65%)**
<b>Death</b>	0.1% (0% - 1.0%)	0.0% (NE)	NE
<b>Hospitalization</b>	2.3% (1.4% - 3.7%)	4.1% (3.4% - 5.0%)	47% (11% - 69%)*
<b>Prolonged Hospitalization</b>	2.2% (1.4% - 3.6%)	2.4% (1.9% - 3.1%)	9% (-57% - 47%)
<b>System Revision</b>	0.7% (0.3% - 1.7%)	3.8% (3.1% - 4.6%)	82% (55% - 93%)**
<b>Loss of device function</b>	0.3% (0.1% - 1.1%)	0.0% (NE)	NE

381 Not mutually exclusive as a single event may meet more than one major complication criteria.

382 NE = Not estimable

383 \* $P < 0.05$ 384 \*\* $P \leq 0.001$ 

385

386 **Figure Legends**

387 **Figure 1: Major Complication Rate through 18-months Post-Implant for Micra and**  
388 **Transvenous Control Cohort.** Subdistributional hazard ratio derived from data through 365  
389 days post implant for each cohort by comparing the cumulative incidence functions to the left of  
390 the dashed line.

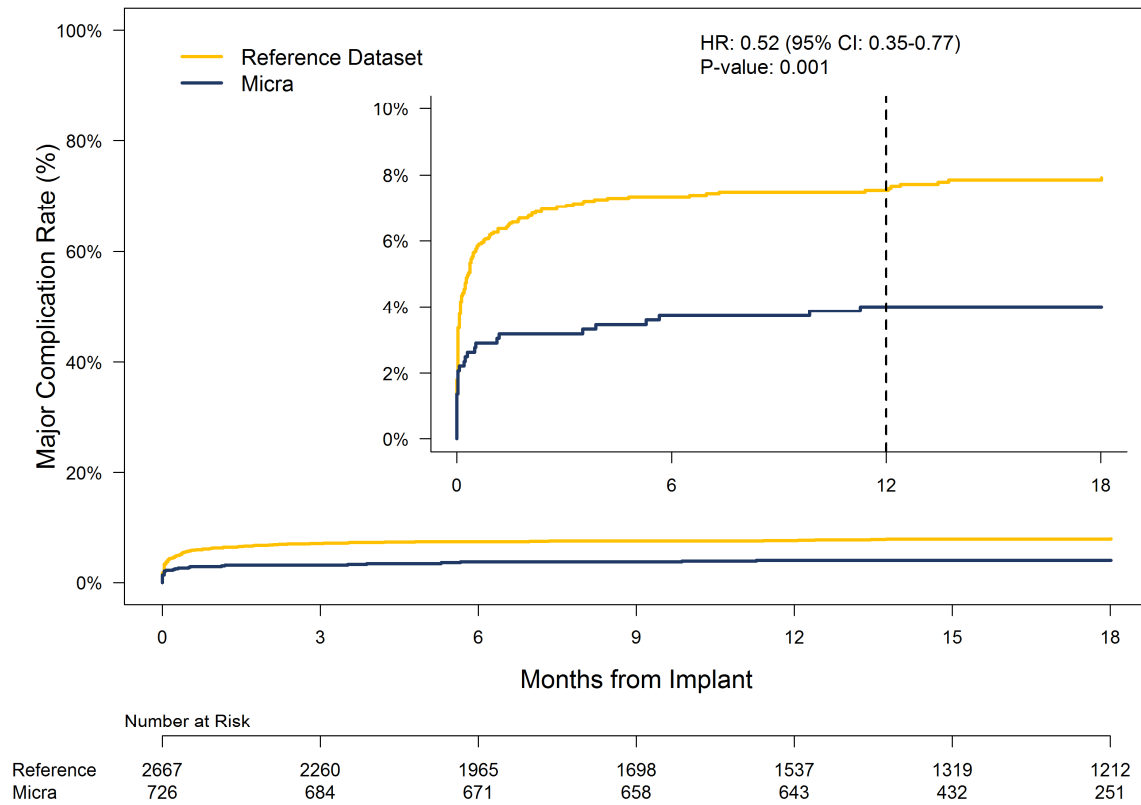
391

392 **Figure 2: Risk of Major Complications through 12 Months Post-Implant Comparing**  
393 **Micra to Reference Dataset in Subgroups.** Vertical solid line corresponds to equal risk.  
394 Vertical dashed line is the subdistributional hazard ratio from the full comparison. Horizontal  
395 solid lines are the 95% confidence intervals for the hazard ratios.

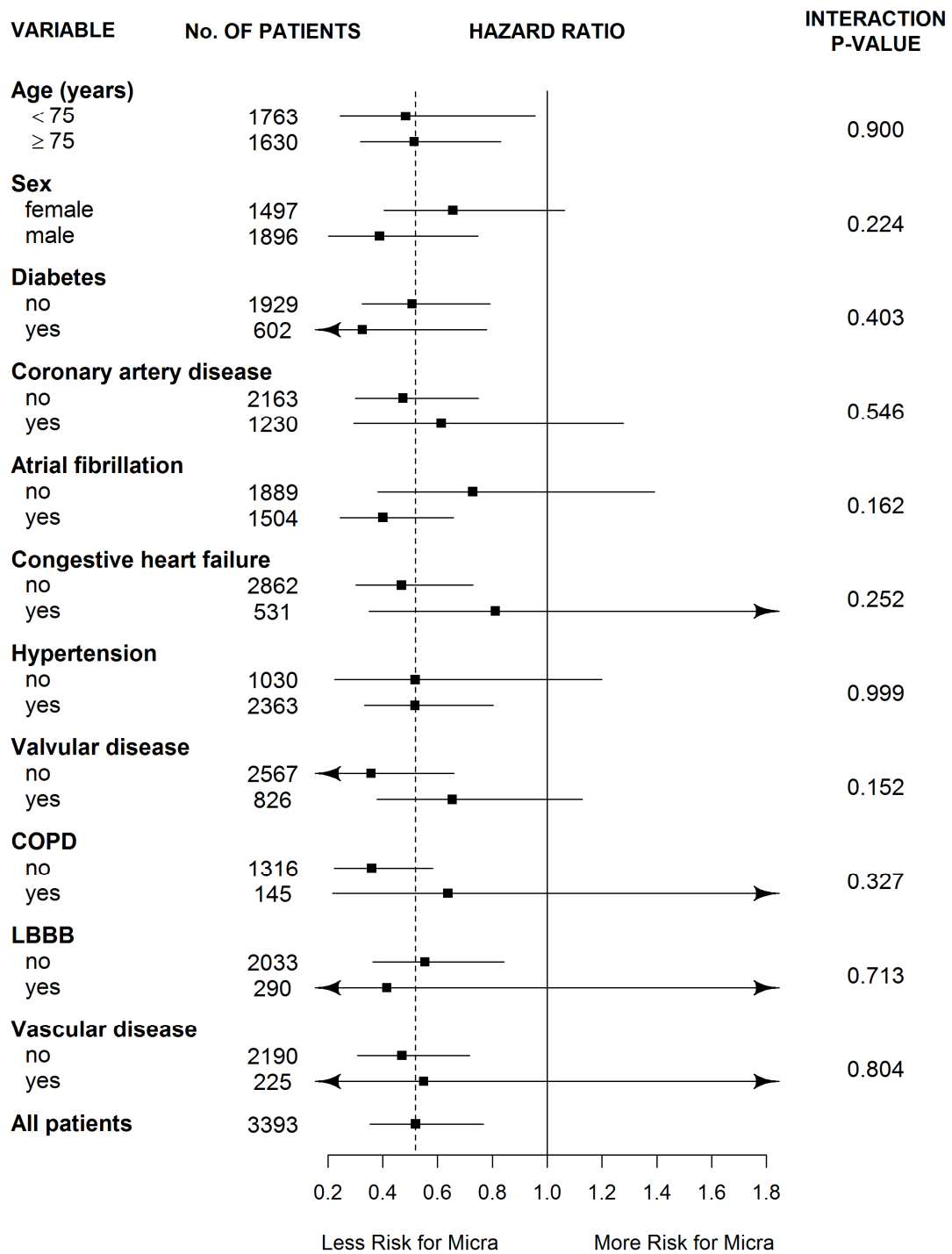
396

397

398 **Figure 3: Micra Electrical Parameters by Study Visit.** Data in the graphs are mean values.  
399 Vertical solid lines represent the standard deviation. N values are the numbers of patients for  
400 whom data were available at each study visit.







## By Visit (All 720 Implanted Patients)

